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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 033082M251

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **10/532878** 

PRIORITY DATE CLAIMED 2002 October 29, 2003 INTERNATIONAL APPLICATION NO. PCT/JP2003/013849 TITLE OF INVENTION THERMAL PROCESSING UNIT AND THERMAL PROCESSING METHOD APPLICANT(S) FOR DO/EO/US Takehiko Fujita, et al. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  $\boxtimes$  a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  $\boxtimes$ are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9..  $\boxtimes$ An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🛛 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. 🛛 A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. 🔲 A power of attorney and/or change of address letter. 17. 🔲 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Cover page of WO 2004/040632; ISR, Forms PCT/IB/301; 304; 308; 332; 338; PCT/IPEA/401 and 409 20.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO HIS KNOWD SEEP (7-66) RPNS) 033082M251 PCT/JP2003/013489 **CALCULATIONS** PTO USE ONLY The following fees are submitted: 21. 🖾 Basic national .....\$300 \$ 300 22. 

Examination Fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of \$ 200 PCT Article 33(1)-(4) ......\$100 All other situations ..... 23. Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an \$ 400 International Searching Authority ......\$100 International Search Report prepared and provided in the Office ......\$400 All other situations ..... TOTAL OF 21, 22 and 23 = \$ 900 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each Number of each additional 50 or fraction RATE **Total Sheets** Extra sheets thereof (round up to a whole number) \$0 x \$250 - 100 = 0/50 =\$ Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ **CLAIMS** NUMBER FILED NUMBER EXTRA RATE \$0 - 20 = 0 \$50 Total claims 20 \$0 + \$360 \$ 360 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(f)). \$ **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ 40 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$ 1300 Amount to be \$ Amount to be \$  $\boxtimes$ A check in the amount of \$ 1300 to cover the above fees is enclosed. in the amount of \$ to cover the above fees. b. 🔲 Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed. c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4300 . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not d. 🔲 NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must-be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Michael A. Makuch SIGNATURE Michael A. Makuch Smith, Gambrell & Russell 1850 M Street, N.W., Suite 800 NAME Washington, DC 20036 CUSTOMER NO. 00441 32,263 REGISTRATION NUMBER